

P/2108-35

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Ryuichi KATAYAMA et al

Date: September 23, 2004

PCT International Application No.: PCT/JP2004/002106

PCT International Filing Date: February 24, 2004

For: OPTICAL HEAD DEVICE AND OPTICAL INFORMATION RECORDING OR  
REPRODUCING APPARATUS

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Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Prior to issuance of a first Office Action and simultaneously with the filing of the present U.S. national phase application, please amend said application as follows:

**FEE CALCULATION**

Any additional fee required has been calculated as follows:

\_\_\_\_\_ If checked, "Small Entity" status is claimed.

	NO. CLAIMS AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		EXTRA PRESENT		RATE	ADDIT. FEE
TOTAL	15	MINUS	20	* =	0	X	(\$9 SE or \$18)	\$0.00
INDEP.	1	MINUS	3	** =	0	X	(\$43 SE or \$86)	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						X	(\$145 SE or \$290)	\$0.00

\* not less than 20 \*\* not less than 3

TOTAL \$ 0.00

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No.. 15-0700.

### **CONTINGENT EXTENSION REQUEST**

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. § 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. § 1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

### **SUMMARY OF AMENDMENTS**

1. ☐ If checked, an abstract (an amended abstract) is submitted herewith.
2. ☐ If checked, amendment(s) to the drawings are submitted herewith.
3. ☒ If checked, amendments to the specification are submitted herewith.
4. ☒ If checked, amendments to the claims are submitted herewith.